

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241082US2X PCT		SERIAL NO. 107561530 New US PCT application based on PCT/JP01/08535	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Mutsuo OGURA, et al.					
		FILING DATE Herewith		GROUP 2818			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA							
AB							
AC							
AD							
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AG							
AH							
AI							
AJ							
AK							
AL							
AM							
AN							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
AO		7-38078	02/07/95	JP	Yes	No	
AP		7-3214414	12/06/95	JP	Yes	No	
AQ							
AR							
AS							
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
AT	APPLIED PHYSICS LETTERS, vol. 78, no. 1, pages 76-78 01/01/01						
AU	DENSHI JOMO TSUSHIN GAKKAIGIJUTSU KENKYU HOKOKU, CPM2000-108-110, vol. 100, no. 372, pages 19-24 10/20/00						
AV	APPLIED PHYSICS LETTERS, vol. 77, no. 3, pages 441-443 02/17/00						
AW	JAPANESE JOURNAL OF APPLIED PHYSICS, vol. 39, part 1, no. 11, pages 6152-6156 11/00						
AX	2001 INTERNATIONAL CONFERENCE ON INDIUM PHOSPHIDE AND RELATED MATERIALS CONFERENCE PROCEEDINGS 13 <sup>th</sup> IPRM, pages 517-520, 05/14/01-05/18/01				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner	/Ngan Ngo/				Date Considered 10/24/2006		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241082US2X PCT	SERIAL NO. 10/561,530		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Mutsuo OGURA, et al.			
				FILING DATE September 29, 2003	GROUP 2818		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
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	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
NN	AO	2001-185559	07/06/01	JP(With English Abstract)	YES	NO	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
NN	AW	Chang-Luen Wu & Wei-Chou Hsu, "Enhanced Resonant Tunneling Real-Space Transfer in $\delta$ -Doped GaAs/InGaAs Gated Dual-Channel Transistors Grown by MOCVD", IEEE TRANSACTIONS ON ELECTRON DEVICES, vol 43, no 2, February 1996, pages 207-212					
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner /Ngan Ngo/					Date Considered 10/24/2006		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							